



Kenneth A. Nelson Registered Patent Attorney Voice: 602-364-7280 KANELSON@BRYANCAVE.COM

June 23, 2003

#### VIA U.S. MAIL

Mail Stop Non-Fee Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

**Bryan Cave LLP** 

One Renaissance Square Two North Central Avenue

**Suite 2200** 

Phoenix, AZ 85004-4406 Tel (602) 364-7000

Fax (602) 364-7070

www.bryancave.com

Re:

Inventor: Stockstad Serial No.: 10/081,821

Title: Integrated Circuit and Method of Controlling Output Impedance

Attorney Docket No.: 130349

Hong Kong

Irvine

Jefferson City

Kansas City

Kuwait

Los Angeles

New York

Overland Park

Phoenix

Riyadh

Shanghai

St. Louis

**United Arab Emirates** 

Abu Dhabi

Dubai

Washington, DC

In Association With Bryan Cave (Illinois)

## Dear Sir/Madam:

Enclosed herewith for filing in the above-identified application are the following:

- 1. Response to Office Action (19 pgs.);
- Terminal Disclaimer (3 pgs.);
- 3. Notice of Recordation of Assignment dated 9/9/02, Assignment, and Assignment Cover Sheet (6 pgs.);
- 4. Notice of Recordation of Assignment dated 9/9/02, Assignment, and Assignment Cover Sheet (6 pgs.);
- 5. Notice of Recordation of Assignment dated 2/27/01, Assignment, and Assignment Cover Sheet (3 pgs.);
- 6. Self-addressed, prepaid postcard to acknowledge receipt of documents.

Very truly yours,

Kenneth A. Nelson

KAN:mtr Enclosure





Commissioner for Patents Serial No. 10/081,821 June 23, 2003 Page 2

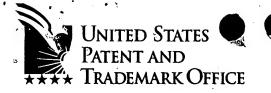
#### CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10.

I hereby certify that this document (and any as referred to as being attached or enclosed) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service, mailing label No. EL452287968US on June 23, 2003 and addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon:

Printed Name:\_

JUN 26 2003



SEPTEMBER 09, 2002

BRYAN CAVE LLP GEORGE C. CHEN TWO NORTH CENTRAL AVENUE SUITE 2200 PHOENIX, AZ 85004-4406 Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/05/2002

REEL/FRAME: 013065/0315

NUMBER OF PAGES: 6

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GAIN TECHNOLOGY, INC.

DOC DATE: 06/03/2002

ASSIGNEE:

SMSC ANALOG TECHNOLOGY, INC. 2700 W. BROADWAY BOULEVARD TUCSON, ARIZONA 85745

SERIAL NUMBER: 09711486
PATENT NUMBER: 6429685

FILING DATE: 11/13/2000 ISSUE DATE: 08/06/2002

VIOLET MCCOY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

# MRD

7.05.02

To the Hon. Commissioner of Patents and Tradrecorded document to the undersigned attorney.



102153032

ocuments or copy thereof and return the

10001000 000000000000000000000000000000	
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
Gain Technology, Inc.	SMSC Analog Technology, Inc.
☐ Individual ☐ Association ☐ General Partnership ☐ Limited Partnersh ☐ Other	Internal Address:ip  Street Address:_2700 W. Broadway Boulevard
Additional name(s) of conveying party(ies) attached?  Yes No	City: <u>Tucson</u> State: <u>Arizona</u> Zip:85745
3. Nature of conveyance:  ☐ Assignment ☐ Merger ☐ Change of Name ☐ Other ☐ Change of Name  Execution Date: June 3, 2002	Other
the patent application was signed b	B. Patent No.(s)  ther with a new patent application, enter the date the first named executing inventor:  ditional numbers attached?   Yes   No
5. Name and address of party to whom correspondence concerning document should be mailed:	
Name: George C. Chen Address: BRYAN CAVE LLP Two North Central Avenue	7. Total Fee (37 CFR 3.41) \$40.00
Suite 2200 Phoenix, Arizona 85004-4406	<ul><li>☑ Enclosed</li><li>☑ Charge deficiency or credit overpayment to: 02-4467</li></ul>
Telephone: (602) 364-7000	8. Deposit Account No
11/2002 BBYRNE 00000164 09711486	Per Assignment Division directive, a duplicate of this page is <u>not</u> attached
FC:581 40.00 09 I	O NOT USE THIS SPACE
9. Statement and signature.  To the best of my knowledge and belief, the force original document.  Kenneth A. Nelson  Name of Person Signing	Signature  Regoing information is true and correct and any attached copy is a true copy of the  7/5/02  Date  Total number of pages submitted: 5

## ARTICLES OF AMENDMENT AND MERGER

AZ. CORP. COMMISSION
DELIVERED

OF

JUN 0 3 2002

DATE

SMSC SUB INC. - |02|568.1

HED BY Smean anchorn

AND

GAIN TECHNOLOGY CORPORATION, 0714732.4

To the Atizona Corporation Commission State of Arizona

Pursuant to the provisions of Chapters 1 through 17 of Title 10, Arizona Revised Statutes, the domestic corporations herein named do hereby submit the following Articles of Merger.

- 1. This document is submitted for the purpose of merging SMSC Sub Inc. with and into Gain Technology Corporation as approved by resolution adopted by the Board of Directors of SMSC Sub Inc. on April 29, 2002 and by resolution adopted at a meeting by the Board of Directors of Gain Technology Corporation on April 29, 2002.
- 2. The name of the surviving corporation is Gain Technology Corporation, and the name and the address of its known place of business in the State of Arizona are

Gain Technology Corporation 2700 W. Broadway Bonlevard Tuscon, AZ 85745

3. The name and the address of the statutory agent of Gain Technology Corporation in the State of Arizona are

National Corporate Research, Ltd. 815 North First Avenue, Suite 4 Phoenix, Arizona 85003

4. Article I of the articles of incorporation of the surviving corporation is amended to read as follows:

The name of the Corporation is SMSC Analog Technology Center, Inc.

- 5. In respect of SMSC Sub Inc., the designation, the number of outstanding shares, and the number of votes entitled to be cast by each voting group entitled to vote on the Plan of Merger, are as follows:
  - (a) Designation of voting group: Common

- (b) Number of outstanding shares of voting group: 100 shares
- (c) Number of votes of voting group entitled to be cast by each voting group entitled to vote on the merger: 100 votes
- 6. In respect of SMSC Sub Inc., the total number of votes cast for and against the Plan of Merger by each voting group entitled to vote on the said merger is as follows:
  - (a) Designation of voting group: Common
- (b) Number of votes of voting group cast for the Plan of Merget:
- (c) Number of votes of voting group cast against the Plan of Merger: 0 votes
- 7. The said number of votes cast for the said Plan of Merger was sufficient for the approval thereof by the said voting group.
- 8. In respect of Gain Technology Corporation, the designation, the number of outstanding shares, and the number of votes entitled to be cast by each voting group entitled to vote on the Plan of Merger, are as follows:
  - (a) Designation of voting group: Common
- (b) Number of outstanding shares of voting group: 10,199,960 shares
- (c) Number of votes of voting group entitled to vote on the Plan of Merger: 10,199,960 votes
- 9. In respect of Gain Technology Corporation, the total number of votes cast for and against the Pian of Merger by each voting group entitled to vote on the Pian of Merger is as follows:
  - (a) Designation of voting group: Common
- (b) Number of votes of voting group cast for the Plan of Merger:
- (c) Number of votes of voting group cast against the Plan of Mergen 0 votes
- 10. The said number of votes cast for the Plan of Merger was sufficient for the approval thereof by the said voting group.

The effective time and date of the merger herein provided for shall be on June 3, 2002.

Executed on June 3, 2002.

SMSC SUB INC.

GAIN TECHNOLOGY CORPORATION

Stove Millaway, Paradent



SEPTEMBER 09, 2002

BRYAN CAVE LLP
GEORGE C. CHEN
TWO NORTH CENTRAL AVENUE
SUITE 2200
PHOENIX, ARIZONA 85004-4406



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/05/2002

REEL/FRAME: 013065/0321

NUMBER OF PAGES: 5

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GAIN TECHNOLOGY, INC.

DOC DATE: 06/03/2002

ASSIGNEE:

SMSC ANALOG TECHNOLOGY, INC. 2700 W. BROADWAY BOULEVARD TUCSON, ARIZONA 85745

SERIAL NUMBER: 10081821

PATENT NUMBER:

FILING DATE: 02/20/2002

ISSUE DATE:

SHARON BROOKS, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



07-12-2002

To the Hon. Commissioner of Patents and Tradem recorded document to the undersigned attorney.

uments or copy thereof and return the 102153033

	8		The state of the s
.•	1. Name of conveying party(ies):	2.	Name and address of receiving party(ies):
	Gain Technology, Inc. 7.05.0	2	SMSC Analog Technology, Inc.
_	Individual Association General Partnership Limited Partnership		Internal Address:
	Corporation Other		Street Address: 2700 W. Broadway Boulevard
Addi	tional name(s) of conveying party(ies) attached?		City: <u>Tucson</u> State: <u>Arizona</u> Zip:85745
. 🔲 :	Nature of conveyance:  Assignment		Individual(s) citizenship  Association  General Partnership – State ( )  Limited Partnership – State ( )  Corporation – State (Arizona)  Other
	oution Date: <u>June 3, 2002</u>		If assignee is not domiciled in the United States, a domestic representative designation is attached:   Yes No (Designation must be separate from Assignment)  Additional name(s) & address(es) attached:  Yes X
4.	Application number(s) or patent number(s):		TIME OF
	A. Application No.(s) 10/081,821		B. Patent No.(s)  The property of the date and the property of the date are the date are the date are the date.
	If this document is being filed together with the patent application was signed by the firs		tent application, enter the date xecuting inventor:
	Additional nu	umbers attach	ned? ☐ Yes ⊠ No
5.	Name and address of party to whom correspondence concerning document should be mailed:	6.	Total number of applications and patents involved: 4
Name: George C. Chen Address: BRYAN CAVE LLP Two North Central Avenue Suite 2200 Phoenix, Arizona 85004-440	Address: BRYAN CAVE LLP	7.	Total Fee (37 CFR 3.41) \$40.00
			<ul> <li>☑ Enclosed</li> <li>☑ Charge deficiency or credit overpayment to: 02-4467</li> </ul>
	Telephone: (602) 364-7000	8.	Deposit Account No.
/11/20 FC:56	02 DBYRME 00000165 10081821 40.00 OP		Per Assignment Division directive, a duplicate of this page is <u>not</u> attached
. 1 415		USE THI	IS SPACE
9.	Statement and signature.  To the best of my knowledge and belief, the foregoing in original document.  Kenneth A. Nelson	formation	is true and correct and any attached copy is a true copy of the
	Name of Person Signing	Sig	Total number of pages submitted: 5

## ARTICLES OF AMENDMENT AND MERGER

AZ. CORP. COMMISSION DELIVERED

OF

JUN 0 3 2002

SMSC SUB INC. - |02|568.

HETTHY Smeasonching

AND

TERM U/3/02

-GAIN TECHNOLOGY CORPORATION. 0714732.4

To the Atizona Corporation Commission State of Arizona

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- 1. This document is submitted for the purpose of merging SMSC Sub Inc. with and into Gain Technology Corporation as approved by resolution adopted by the Board of Directors of SMSC Sub Inc. on April 29, 2002 and by resolution adopted at a meeting by the Board of Directors of Gain Technology Corporation on April 29, 2002.
- 2. The name of the surviving corporation is Gain Technology Corporation, and the name and the address of its known place of business in the State of Arizona are

Gain Technology Corporation 2700 W. Broadway Boulevard Tuscon, AZ 85745

3. The name and the address of the statutory agent of Gain Technology Corporation in the State of Arizona are

National Corporate Research, Ltd. 815 North First Avenue, Suite 4 Phoenix, Arizona 85003

4. Article I of the articles of incorporation of the surviving corporation is amended to read as follows:

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- 5. In respect of SMSC Sub Inc., the designation, the number of outstanding shares, and the number of votes entitled to be cast by each voting group entitled to vote on the Plan of Merger, are as follows:
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- (c) Number of votes of voting group entitled to be cast by each voting group entitled to vote on the merger: 100 votes
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  - (a) Designation of voting group: Common
- (b) Number of votes of voting group cast for the Plan of Merget.
- (c) Number of votes of voting group cast against the Plan of Merger: 0 votes
- 7. The said number of votes cast for the said Plan of Merger was sufficient for the approval thereof by the said voting group.
- 8. In respect of Gain Technology Corporation, the designation, the number of outstanding shares, and the number of votes emitted to be cast by each voting group entitled to vote on the Plan of Merger, are as follows:
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- (b) Number of outstanding shares of voting group: 10,199,960 shares
- (c) Number of votes of voting group entitled to vote on the Plan of Merger: 10,199,960 votes
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  - (a) Designation of voting group: Common
- (b) Number of votes of voting group cast for the Plan of Merger:
- (c) Number of votes of voting group cast against the Plan of Merger: 0 votes
- 10. The said number of votes cast for the Plan of Merger was sufficient for the approval thereof by the said voting group.

The effective time and date of the merger herein provided for shall be on June 3, 2002.

Executed on Juno 3, 2002.

SMSC SUB INC.

By: Steven J. Bilodean, President

GAIN TECHNOLOGY-CORPORATION

By: Name: Capacity: The effective time and date of the merger herein provided for shall be on June 3, 2002.

Executed on June 3, 2002.

SMSC SUB INC.

Steven J. Bilodeau, President

GAIN TECHNOLOGY CORPORATION

By: Steve Millaway, President



### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FEBRUARY 27, 2001

PTAS



BRYAN CAVE LLP GEORGE C. CHEN TWO NORTH CENTRAL AVENUE SUITE 2200 PHOENIX, ARIZONA 85004-4406

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/13/2000

REEL/FRAME: 011339/0280

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STOCKSTAD, TROY L.

DOC DATE: 11/13/2000

ASSIGNEE:

GAIN TECHNOLOGY CORPORATION 2700 W. BROADWAY BOULEVARD TUCSON, ARIZONA 85745

SERIAL NUMBER: 09711486

PATENT NUMBER:

FILING DATE: ISSUE DATE:

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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To the Hon. Commissioner of Patents and Tra nents or copy thereof and return the recorded 101555189 document to the undersigned attorney. Name of conveying party(ies): 2. Name and address of receiving party(ies): 1. STOCKSTAD, TROY L. Name: Gain Technology Corporation Internal Address:\_\_\_ [] Association [X] Individual [] Limited Partnership General Partnership Street Address: 2700 W. Broadway Boulevard Corporation [] Other City:\_\_ Tucson \_\_\_\_\_ State:\_AZ 85745 Additional name(s) of conveying party(ies) attached? [] Yes [X] No [] Individual(s) citizenship\_\_\_\_ 11 Association General Partnership - State ( ) 3. Nature of conveyance: [] Limited Partnership - State ( ) [X] Assignment [-] -Merger . \_ \_ \_ [X] Corporation-State (AZ) []Other [] Security Agreement [] Change of Name [] Other\_\_\_\_\_ If assignee is not domiciled in the United States, a domestic representative designation is attached: [] Yes [] No Execution Date: November 13, 2000 (Designation must be separate from Assignment) Additional name(s) & address(es) attached: [] Yes [x] No 4. Application number(s) or patent number(s): A. Application No.(s) B. Patent No.(s) If this document is being filed together with a new patent application, enter the date the patent application was signed by the first named executing inventor: November 13, 2000 Additional numbers attached? [] Yes [X] No 5. Name and address of party to whom correspondence 6. Total number of applications and concerning document should be mailed: patents involved: 1 Name: Address: **BRYAN CAVE LLP** 7. Total Fee (37 CFR 3.41) Two North Central Avenue 40.00 **Suite 2200** Phoenix, Arizona 85004-4406 Enclosed Telephone: (602) 364-7000 Charge deficiency or credit overpayment to: 8... Deposit Account No. 02-4467 Per Assignment Division directive, a duplicate of this page is not attached DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. George C. Chen Name of Person Signing Total number of pages submitted: 2 12/19/2000 MTHRI1 00000113 024467 09711436

01 FC:581 283999 40.00 CH

## ASSIGNMENT

In consideration of my agreement with Gain Technology Corporation, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, Troy L. Stockstad, an individual residing at 726 N. Tamarisk Street, Chandler, Arizona 85224, hereby assign, transfer, and deliver to Gain Technology Corporation, an Arizona corporation, having a principal place of business located at 2700 W. Broadway Boulevard, Tucson, Arizona 85745, its successors and assigns (collectively referred to herein as "ASSIGNEE") all of my right, title, and interest in, to, and under any and all subject matter of the invention(s) disclosed in the application for United States patent, entitled

## INTEGRATED CIRCUIT AND METHOD OF CONTROLLING OUTPUT IMPEDANCE

filed in the U.S. Patent and Trademark Office herewith, and in, to, and under the said application, all continuations, continuations in part, and divisions thereof, and the exclusive right to make application for patents, reissues, renewals, and extensions thereof, and in, to, and under all patents and all Convention and Treaty rights of all kinds, in the United States of America and all other countries throughout the world, for all such subject matter. I request the applicable official having authority to issue patents or corresponding rights to issue same on the subject matter of the said invention to ASSIGNEE and, if called upon by ASSIGNEE or its legal representatives, I agree to promptly sign all documents necessary to secure all such patents and rights and for issuance of same to ASSIGNEE.

I confirm that I have not made any agreement in conflict with this Assignment. I further agree that I will provide information within my knowledge or belief, and do all other relevant things that ASSIGNEE or its legal representatives deem necessary or desirable and request of me in connection with obtaining or maintaining, or in connection with any proceeding, controversy, or litigation pertaining to, any such patents, or in order to perfect and/or enforce ASSIGNEE's ownership of the right, title, and interest conveyed by this Assignment, or in connection with this Assignment, without payment of consideration to me beyond that called for in my written agreement with ASSIGNEE on the understanding, however, that ASSIGNEE will bear all reasonable expenses actually incurred for or in connection with such matters. This Assignment and the obligations assumed by me shall be binding on my heirs and personal representatives.

Date: 11/3/2000	Troy S. Stocker
	Troy L. Stockstad
STATE OF ARIZONA .	:
COUNTY OF Maricopa	: ss. :
On this 13 th day of Moumb himself to my satisfaction, and signed the fo	2000, Troy L. Stockstad personally appeared before me, identified regoing Assignment in my presence.
, ,	Cynthia E. Markeurs
	Notary Public

My comm

Notary Public - State of Arizona MARICOPA COUNTY My Comm. Expires Aug. 19, 2002